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Asymmetric Diels–Alder reactions in supercritical carbon dioxide catalyzed by rare earth complexes

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Abstract—The rare earth(III) salt catalysed asymmetric Diels–Alder reaction of cyclopentadiene with a chiral dienophile in supercritical carbon dioxide (scCO₂) proceeded rapidly to give the adduct with a higher diastereoselectivity than that in dichloromethane; Yb(ClO₄), gave the endo adduct with value up to 77% de at 40°C, 8 MPa. The chiral rare earth diketonate catalyzed hetero Diels–Alder reaction of the Danishefsky's diene with benzaldehyde gave a higher yield and an enantioselectivity in scCO₂ than that in dichloromethane. Scandium/pybox 8a complex catalysed asymmetric Diels–Alder reaction of 3-crotonoyl-2-oxazolidinone with cyclopentadiene in the presence of MS4A proceeded smoothly in $\sec O_2$ to give the *endo* adduct 10 in a good yield with up to 88% ee. $©$ 2003 Elsevier Ltd. All rights reserved.

1. Introduction

In recent years, rare earth complexes have been of interest in stereo-controlled organic synthesis.^{[1](#page-6-0)} High stereoselectivity is due to effective chelation control by complexation of the rare earth metal to a functional group such as a carbonyl group. The remarkable feature of the complex is that it works well as a Lewis acid catalyst in aqueous media where most of the Lewis acids are inactivated and scarcely catalyze the reaction. This aqueous reaction is environmentally benign and is advantageous from the viewpoint of green chemistry.

An alternative choice for the green medium is supercritical carbon dioxide ($\sec O_2$), which has recently received considerable attention in organic synthesis not only because it is a nontoxic and environmentally friendly medium but also because it often enhances the selectivity and/or rate of the organic reactions compared to conventional organic solvents.[2](#page-6-0) Transition–metal-complex catalysed reactions in supercritical fluids are intensively studied and particularly successful in asymmetric synthesis.^{[3](#page-6-0)} On the other hand, the \rm{scCO}_{2} conditions (over 40 $\rm{°C}$ at 8 MPa) does not seem to be suitable for a Lewis acid catalyzed asymmetric synthesis, because a reaction usually must be carried out at low temperature to control stereoselectivity. Indeed, the trial of titanium-catalyzed asymmetric Mukaiyama aldol reaction in $\sec O_2$ has not been successful.^{[4](#page-6-0)}

Rare earth salt is often used for reactions in $\sec O_2$ as a

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Lewis acid, 5 however, significantly advantageous reactions have rarely been reported comparing to those in organic solvent.^{[6](#page-6-0)} We have found a dramatic enhancement of selectivity in the diastereoselective Diels–Alder reaction of a chiral dienophile with cyclopentadiene using rare earth salts by tuning the pressure in $\sec O_2$.^{[7](#page-6-0)} We report here on rare earth complex catalyzed asymmetric Diels–Alder reactions in $\sec O_2$ which successfully yield a product with higher enantioselectivity than in an organic solvent.

2. Results and discussion

2.1. Diastereoselective Diels–Alder reaction of the chiral dienophile with cyclopentadiene

We chose Evans's 3-acryloyl-(4S)-isopropyloxazolidin-2 one 1 as a good chiral dienophile for the reaction with cyclopentadiene 2 after screening several chiral dienophiles.[8](#page-6-0) We carried out the reaction using a catalytic amount (10 mol%) of rare earth salts (REX_3) in $\sec O_2$ and organic solvents for comparison [\(Scheme 1\)](#page-1-0). We employed rare earth triflate and perchlorate as a catalyst, and the representative results are shown in [Table 1](#page-1-0). Here, the reaction without $REX₃$ should be carried out to estimate the contribution from uncatalyzed reaction in $\sec O_2$ at 8–10 MPa because an intermolecular cycloaddition reaction can proceed under high pressure ([Table 1](#page-1-0), entries $1-2$): the uncatalyzed reaction scarcely occurred in CH₂Cl₂ (entry 3).^{[9](#page-6-0)} The catalyzed reaction in $\rm{scCO_2}$ (40°C, 10 MPa) proceeded smoothly and more quickly than in CH_2Cl_2 to give the endo- $(2R, 4^7S)$ -3 mainly in high yield with moderate to good diastereoselectivities. It is noteworthy that each

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Scheme 1.

catalyzed reaction in $scCO₂$ gave a higher diastereoselectivity than that of the corresponding catalyzed reaction in $CH₂Cl₂$ even though the reactions were compared at the same temperature (40 $^{\circ}$ C); La(OTf)₃ gave 59–62% de of the adduct in scCO_2 , while it was $38-42\%$ de in CH_2Cl_2 (entries 4–6). Although the catalyzed reaction may be contaminated by the uncatalyzed reaction to some extent, high yield and selectivity were certainly achieved by the rare earth catalyst and $\sec O_2$ reaction conditions. As for the rare earth triflates, the diastereoselectivity of the reaction increases in the order of La, Yb, and Sc, suggesting that this is the order of the ionic radius.^{[10](#page-6-0)} La(ClO₄)₃ and Yb(ClO₄)₃ also worked effectively in $\sec O_2$ to give the adduct in good diastereoselectivities (entries 11 and 14). A significant enhancement of the diastereoselectivity in $\sec O_2$ was also observed in the reaction with $Yb(CIO₄)₃$ at around 8.0 MPa. The chiral rare earth complex $(+)$ -Eu(hfc)₃, which catalyzes asymmetric reactions, brought a good stereoselectivity to the reaction (entry 16). This high stereoselectivity may be due to the matching stereochemistry between $(+)$ -Eu(hfc)₃ and the chiral auxiliary.

The reaction in $\sec O_2$ was always accompanied by the possibility that the reaction might occur in a heterogeneous or phase-separated system, i.e. the catalyst or substrates might not be dissolved in $\sec O_2$. The reaction in a non polar solvent such as hexane and toluene (10 ml) where the catalyst $La(OTf)$ ₃ was not dissolved sufficiently, i.e. the reaction system was apparently heterogeneous, gave the adduct in low selectivities; hexane: 46% de, toluene 40% de

Table 1. Rare earth salt catalyzed diastereoselective Diels–Alder reaction with chiral dienophile 1 in scCO_2 and $\text{CH}_2\text{Cl}_2^{\ a}$

Entry	REX ₃	Solvent	Yield (%) endolexo		$de(endo)$ $(\%)$
1	None	scCO ₂	47	83/17	46
$2^{\rm b}$	None	scCO ₂	48	89/11	49
3	None	CH_2Cl_2	$\mathbf{0}$		
4	$La(OTf)_{3}$	scCO ₂	84	70/30	59
5	La(OTf) ₃	CH_2Cl_2	92	74/26	38
6 ^c	La(OTf) ₃	CH ₂ Cl ₂	86	77/23	42
7°	La(OTf) ₃	Hexane	34	80/20	46
8 ^c	La(OTf) ₃	Toluene	48	83/17	40
9	$La(OTf)_{3}$	$C_6H_5CF_3$	92	70/30	38
10 ^c	La(OTf) ₃	None	95	85/15	42
11 ^d	$La(CIO4)3·9H2O$	scCO ₂	67	90/10	49
12	$Yb(OTf)_{3}$	scCO ₂	91	76/24	50
13	$Yb(OTf)_{3}$	CH_2Cl_2	96	76/24	32
14 ^b	$Yb(CIO4)3·8H2O$	scCO ₂	49	83/17	77
15	$Sc(OTf)_{3}$	scCO ₂	95	75/25	49
16	$(+)$ -Eu(hfc) ₃	scCO ₂	60	92/8	69

1 (0.5 mmol), 2 (1.0 mmol), REX_3 (0.05 mmol): in scCO₂, 40°C, 10 MPa, 0.5 h; in CH₂Cl₂, 0°C, 0.1 MPa, 2 h.

^b 40°C, 8 MPa, 0.5 h. c 40°C, 2 h. d MS 4A was added.

(Table 1 entries $7-8$). It is reasonable to assume that a catalytic amount of $La(OTf)_{3}$ could be dissolved in $scCO_{2}$ sufficiently and work effectively as a catalyst. When the reaction was carried out without a solvent (Table 1, entry 10), the diastereoselectivity was lower (42% de) than that in $\sec CO_2$ demonstrating that the $\sec CO_2$ reaction system certainly improved the diastereoselectivity. The apparent heterogeneous reaction using the stoichiometric amount of $La(OTf)_{3}$ (0.50 mmol), which was not dissolved completely in \secO_2 (40°C, 10 MPa), gave almost the same selectivity as the catalytic reaction. From these results, only dissolved $La(OTf)_{3}$ could work as a catalyst and undissolved one could not. The question on the solubility of substrates may be clarified by carrying out the reaction using larger amounts of substrates. Even if the reaction was carried out using five times the amount of substrates (2.5 mmol) as the usual (0.5 mmol), yield and selectivity were almost the same as the usual case. It would be appropriate to consider that the substrates were dissolved within this range of concentration.

We examined the density dependence of diastereoselectivity of the Diels–Alder reaction of 1 with 2 using La(OTf)₃ at 40°C. [Figure 1](#page-2-0) shows a plot of the CO_2 density versus de values (endo adduct) for the reaction. 11 The diastereoselectivity seemed to be almost independent on the $CO₂$ density, but the de value may be somewhat increased in the near critical region (40 $^{\circ}$ C, 7.8 MPa).^{[12](#page-6-0)} Selectivity was the lowest in a liquid $CO₂$, where the $CO₂$ density is almost 0.9 g/ml. A catalytic amount of the rare earth salt is sufficient for good selectivity, while the reaction with this dienophile always needs a stoichiometric amount of a Lewis acid catalyst to obtain a high stereoselectivity.^{[8](#page-6-0)}

2.2. Enantioselective hetero Diels–Alder reaction with Danishefsky's diene 4

Danishefsky et al. first reported the enantioselective hetero Diels–Alder reaction of 1-methoxy-3-trimethylsiloxy-1, 3-butadiene 4 (Danishefsky's diene) with benzaldehyde 5 using $(+)$ -Eu(hfc)₃ as a catalyst in CDCl₃ to give the adduct R-6 after treatment with trifluoroacetic acid in a low enantiomeric excess (18% ee).^{[13](#page-6-0)} When we re-examined the reaction in CH_2Cl_2 at room temperature, R-6 was obtained in low yield with low ee% (12% ee) after a long reaction time (24 h) ([Table 2](#page-2-0), entry 3). As previously stated, the stereoselectivity of the reaction can be improved in $\sec 0₂$ comparing to the reaction in the organic solvent. We then applied the reaction in $\sec O_2$ (50°C, 10 MPa) expecting the enhancement effect of stereoselectivity ([Scheme 2\)](#page-2-0). [Table 2](#page-2-0) summarizes the results of the chiral rare earth complex catalyzed hetero Diels–Alder reaction in $\sec O_2$. A yield of the product was significantly improved, but the enantio-

Figure 1. $Co₂$ density vs. *endo* de% in the Diels–Alder reaction with the chiral dienophile 1.

Table 2. Chiral rare earth complex catalyzed enantioselective hetero Diels–Alder reaction with Danishefsky's diene 4 in scCO_2 and $\mathrm{CH}_2\mathrm{Cl}_2^{\ a}$

Entry	REL^*_{3}	Slovent	Yield $(\%)$	ee(config) $(\%)$
1	None	CH_2Cl_2	0	
2	None	scCO ₂	Ω	
3 ^b	$(+)$ -Eu(hfc) ₃	CH_2Cl_2	22	12(R)
4	$(+)$ -Eu(hfc) ₃	scCO ₂	67	22(R)
5	$(+)$ -Yb (hfc) ₃	CH_2Cl_2	18	23(R)
6	$(+)$ -Yb (hfc) ₃	scCO ₂	78	35(R)
7	$(+)$ -Sc (hfc) ₃	CH_2Cl_2	14	31(R)
8	$(+)$ -Sc (hfc) ₃	scCO ₂	66	38(R)
$Q^{\rm C}$	7: $Yb(BNP)$ ₃	CH ₂ Cl ₂	50	73(R)
10	7: $Yb(BNP)_3$	scCO ₂	20	13 (R)
11	$Sc(OTf)_{3}/8a$	CH_2Cl_2	93	θ
12	$Sc(OTf)_{3}/8a$	scCO ₂	39	3
13	$Yb(OTf)$ ₃ /8a	CH_2Cl_2	71	θ
14	$Yb(OTf)$ ₃ /8a	scCO ₂	30	5

^a 4 (0.7 mmol), 5 (0.5 mmol), REL₃ (0.05 mmol): in scCO₂, 50°C, 10 MPa, 0.5 h; in CH₂Cl₂, 25°C, 0.1 MPa, 24 h. ^b 18% ee in CDCl₃ (Ref. [11](#page-6-0)). ^c Ref. [14a](#page-6-0).

selectivity was still low (22% ee) though it was increased (entry 4). The use of $(+)$ -Yb(hfc)₃ and $(+)$ -Sc(hfc)₃ improved enantioselectivity to 35–38% ee. These values were higher than those in CH_2Cl_2 (entries 5–8). The reaction must not be contaminated by an uncatalyzed reaction because it scarcely proceeded in the absence of a catalyst even under the high-pressure $\sec O_2$ conditions (entry 2).

Because the enantioselectivity with $(+)$ -Sc(hfc)₃ was still

unsatisfactory, we employed tris $[(R)-1,1]$ -binaphtyl-2,2[']phosphonate] ytterbium $[Yb(BNP)_3]$ 7 which is known to bring good enantioselectivity to the hetero Diels–Alder reaction.^{[14](#page-6-0)} However, it did not work effectively and resulted in a low yield and selectivity probably due to its low solubility in $\sec O_2$. A combination of rare earth triflate and pybox (pyridine bisoxazoline) 8a gave poor results either in CH_2Cl_2 or scCO₂.^{[15](#page-6-0)} These results are also shown in Table 2.

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Scheme 3.

Table 3. Rare earth triflate/pybox catalyzed enantioselective Diels–Alder reaction with 3-crotonoyloxazolidine-2-one **9b** in $\sec{O_2}^a$

Entry	$RE(OTf)$ ₃	Pybox	Yield $(\%)$	endolexo	ee(<i>endo</i>) $(\%)$
	None		Ω		
$2^{\rm b}$	None		θ		
3	$Sc(OTf)_{3}$	8a	71	93/7	83 (2S, 3R)
4°	$Sc(OTf)_{3}$	8a	68	85/15	63 (2S, 3R)
5 ^b	$Sc(OTf)_{3}$	8a	94	90/10	83 (2S, 3R)
6	$Sc(OTf)_{3}$	8b	75	95/5	41 $(2S, 3R)$
7	$Sc(OTf)_{3}$	8с	33	88/12	54 $(2S, 3R)$
8	$Sc(OTf)_{3}$	8d	41	92/8	70(2S, 3R)
9	$Sc(OPf)_{3}$	8a	65	89/11	61 $(2S, 3R)$
10	$Y(OTf)_{3}$	8a	9	96/4	24 (2S, 3R)
11	$La(OTf)_{3}$	8a	Trace		
12	$Sm(OTf)_{3}$	8a	Trace		
13	Yb(OTf)	8a	21	89/11	25 (2S, 3R)

^a 9 (0.25 mmol), 2 (0.75 mmol), RE(OTf)₃ (0.025 mmol), pybox 8 (0.025 mmol): in scCO₂, 40°C, 10 MPa, 0.5 h.
^b In CH₂Cl₂, 0°C, 18 h.
^c Without MS4A.

2.3. Enantioselective Diels–Alder reaction of 3-acyloxazolidin-2-one 9 with cyclopentadiene

Because the combination of rare earth triflate and pybox 8a was effective for the enantioselective Diels–Alder reaction with 3-acyloxazolidin-2-one in $CH₂Cl₂$, the complex was applied in the $\sec O_2$ reaction (Scheme 3).^{[16](#page-6-0)} Table 3 summarizes the reaction of 3-crotonoyl-2-oxazolidinone 9 with cyclopentadiene 2 in $\sec O_2$ (40°C, 10 MPa) where

additional pybox derivatives (8a–d) and representative rare earth triflates were examined. The combination of $Sc(OTf)_{3}$ and 8a afforded the endo-(2S,3R)-10 product (endo/ $exo=80:20-98:2$ in a good yield with high enantioselectivity (83% ee). The value of ee% was as high in $\sec{CO_2}$ as in $\rm CH_2Cl_2$ even though the reaction was carried out at a higher temperature (40 $^{\circ}$ C) than in CH₂Cl₂ (0 $^{\circ}$ C) (entries 3 and 5). A contribution of the uncatalyzed reaction need not be considered because no reaction proceeded without the catalyst under the conditions (Table 3, entry 1). The addition of MS4A was essential to achieve high enantioselectivity, the %ee value decreasing to 63% without it (entry 4). As for the pybox derivative, the isopropyl derivative 8a was most effective, the phenyl, benzyl, and t-butyl ones $8b-8d$ affording lower ee% (entries 6–8). Rare earth triflates other than scandium were not suitable for this reaction, they work poorly as a catalyst (entries 10–13). It is worth noting that the reaction proceeded more rapidly in $\sec CO_2$; the adduct can be obtained in a good yield in a short time (0.5 h), while the reaction in $CH₂Cl₂$ requires 18 h for completion. Since Mikami et al. reported that an asymmetric Mukaiyama aldol reaction $\sec O_2$ by a chiral titanium complex resulted in a low yield with a moderate ee%,^{[3](#page-6-0)} it has been thought that the Lewis acid catalyzed asymmetric reaction in $\sec O_2$ may have been difficult. However, this first success of the Sc(OTf)₃/8a catalyzed reaction in scCO₂ may be a landmark of Lewis acid catalyzed asymmetric synthesis in $\sec O_2$ with an advantage over the reaction in a harmful organic solvent.

Figure 2. $Co₂$ density vs. *endo* ee% in the enantioselective Diels–Alder reaction.

The combination of Sc(OTf)₃ with (R)-BINOL 11^{17} 11^{17} 11^{17} and (S, S) -salen 12,^{[18](#page-6-0)} which are effective for the reaction in $CH₂Cl₂$, did not give reasonable results: 11, 38% yield, 8% ee; 12 18% yield, 18% ee.

The pressure dependence of the enantioselectivity was examined even in here, as it was examined in the former diastereoselective reaction. [Figure 2](#page-3-0) shows a plot of the $CO₂$ density versus ee% values (endo-10) for the reaction at 40 $^{\circ}$ C. The ee% value was almost independent from the CO₂ density (pressure): The ee% values $82-88\%$ may involve the experimental error except for the reaction in liquid $CO₂$.

The stereochemical course of the cycloaddition can be explained by the chelation model as illustrated in Scheme $4.^{19}$ $4.^{19}$ $4.^{19}$ In this model two carbonyl groups in the dienophile 9b coordinate to scandium as the alkene group directed toward the less hindered site where the isopropyl group does not shield the space. MS4A may promote coordination of the oxazolidinone group to the scandium metal center. The attack of cyclopentadiene from the si face is favored, and the (S,R) -endo adduct would be a major product.

Scheme 4.

3. Conclusions

Stereoselectivities in the rare earth catalyzed Diels–Alder reaction were significantly increased in $\sec O_2$ compared to the reactions in CH_2Cl_2 by optimizing the CO_2 pressure. Though the actual reason for the enhanced stereoselectivity in $\sec O_2$ is not yet clarified, there is a potential for the REX₃ catalyzed asymmetric reaction in $\sec O_2$ in the area of stereoselective synthesis.

4. Experimental

4.1. General

The ¹H and ¹³C NMR spectra were recorded on a Varian Mercury 300 NMR (300 MHz) or JEOL A-400 NMR (400 MHz) spectrometer as solutions in CDCl₃. The chemical shifts are reported in δ units downfield from the internal reference, \overline{Me}_{4} Si. The infrared spectra were obtained using a JASCO Herschel FT/IR-230A spectrometer. The HPLC analyses were carried out on a Hitachi L-7100 apparatus equipped with a UV detector using chiral columns. The GC/MS analyses were carried out on a Hewlett–Packard 5980/5972 instrument equipped with a capillary column (HP-5ms) (0.25 mm, 30 m) (helium as carrier gas). The optical rotations were determined by a JASCO DIP-370 apparatus. The elemental analyses were

carried out using a Yanaco CHN CORDER MT-5. Preparative TLC was conducted using a 20×20 cm glass sheet coated with a 2-mm-thick layer of Merck Kieselgel 60 PF_{254}

4.2. Materials

Chiral and achiral 3-acyloxazolidin-2-ones were prepared from the reaction of acyl chlorides with the corresponding chiral and achiral oxazolidin-2-ones by the reported method.^{[8](#page-6-0)} (+)-Eu(hfc)₃ and (+)-Yb(hfc)₃ were purchased from Aldrich, and $(+)$ -Sc(hfc)₃ was prepared from ScCl₃ and heptafluorocamphor according to the literature method.^{[20](#page-7-0)} Lanthanum(III) and ytterbium(III) perchlorates were purchased from Soekawa Chemicals Co., Ltd. All rare earth (Sc, Y, La, Yb) triflates were prepared by the literature method.^{[21](#page-7-0)} All pybox derivatives $\overline{8a-d}$ were commercially available (TCI Co., Ltd) except tert-Bu derivative 8d, which was prepared by the reported method.^{[22](#page-7-0)} Danishefsky's diene (1-methoxy-3-(trimethylsiloxy)-1,3-butadiene) was purchased from Aldrich Co., Ltd and used without further purification. Cyclopentadiene was obtained by pyrolytic distillation of dicyclopentadiene at 180° C just prior to use. All other commercial organic compounds were used without further purification.

4.3. Reactor

As with all reactions under high pressure, adequate safety precautions must be taken. For carrying out reactions in \rm{scCO}_{2} , a stainless steel cylindrical vessel with a volume of 10 ml and see-through windows (sapphire glass) (JASCO VCI) was used. Stirring is accomplished with a remote magnetic stirrer. A Swagelok pressure relief valve with a release pressure of 40 MPa is employed as a safety precaution. Reagents can be injected into the system using a Rheodyne injector valve. The system is pressurized using a JASCO PU-1580 HPLC pump equipped with a cooling unit, which draws from a $CO₂$ cylinder. Temperature control is accomplished by covering the vessel with a hot-water jacket. The flow diagram of the $\sec O_2$ reactor is illustrated in [Figure 3](#page-5-0).

4.3.1. Diels–Alder reaction of 3-acryloyl-(4S)-isopropyloxazolidin-2-one 1 with cyclopentadiene 2 in $\sec O_2$. The following provides the typical experimental procedure for the rare earth salt catalyzed Diels–Alder reaction of 1 with 2 in scCO₂. La(OTf)₃ (30.0 mg, 0.05 mmo1, 10 mo1%) and 1 (91.6 mg, 0.5 mmol) were placed in the pressure vessel with a magnetic stirring bar. The vessel was then sealed and charged to 7.0 MPa pressure with cooled $CO₂$ at 40°C. Stirring at 40° C was started, and a period of $15-20$ min was allowed for equilibration. Freshly distilled 2 (82 ml, 1.0 mmol) was injected into the system, and the pressure increased to the desired level (8–10 MPa). After 0.5 h, the stirring and heating was stopped and the vessel was immersed in a dry ice–methanol bath. The pressure was then released through a trap of ethyl acetate (20 ml), and the vessel was washed with more ethyl acetate (30 ml) and water (30 ml). The combined organic layer was washed with brine and dried over MgSO₄. GC/MS analysis (J and W, HP-5ms, 30 m, He as carrier gas) of the solution revealed the presence of the stereoisomeric mixture of adducts 3

Figure 3. Reactor of $\sec 2\phi_2$ and flow diagram.

(conversion 84%). The diastereomeric excess of the endo adduct was determined by GC/MS: temperature program; 180°C, 5 min, 4°C/min, 200°C, 20 min, 110 kPa, retention time; 8.8 min (endo minor), 9.0 min (exo), and 9.3 min (endo major). Evaporation of the solvent left a pale orange residue that was subjected to preparative TLC (hexane/ethyl acetate=4:1) to give *endo*- $(2R, 4^7S)$ -3 mainly.^{[8](#page-6-0)} Colorless oil. $[\alpha]_D^{25} = +208.4$ (c=0.23, CHCl₃). ¹H NMR (CDCl₃, 400 MHz): δ 0.85 (d, 6H, J=7.30 Hz), 1.4–2.6 (m, 5H), 2.89 (s, 1H), 3.32 (s, 1H), 3.8–4.4 (m, 4H), 5.73 (dd, 1H, $J=2.7, 5.6$ Hz), 6.20 (dd, 1H, $J=3.2, 5.6$ Hz); ¹³C NMR: δ 14.4, 17.8, 28.1, 28.5, 42.9, 43.2, 46.8, 50.2, 58.2, 63.0, 130.8 130.8, 153.8, 174.1. Anal calcd for $C_{14}H_{19}NO_3$: C, 67.45; H, 7.68; N, 5.62. Found: C, 67.35; H, 7.71; N, 5.56.

4.3.2. Hetero Diels–Alder reaction of Danishefsky's diene 4 with benzaldehydes 5 in $\sec O_2$. The following provides the typical experimental procedure for the rare earth salt catalyzed hetero Diels–Alder reaction of Danishefsky's diene 4 with benzaldehyde 5 in scCO_2 . $(+)$ -Yb(hfc)₃ (6.1 mg, 0.005 mmo1, 1.0 mo1%) and 5 (53 mg, 0.5 mmol) were placed in the pressure vessel with a magnetic stirring bar. The vessel was then sealed and charged to 7.0 MPa pressure with cooled $CO₂$ at 50°C. Stirring at 50° C was started, and a period of $15-20$ min was allowed for equilibration. 4 (120 ml, 0.7 mmol) was injected into the system, and the pressure increased to the desired level (10 MPa). After 0.5 h, stirring and heating was stopped and the vessel was immersed in a dry ice–methanol bath. The pressure was then released through a trap of ethyl acetate (20 ml), and the vessel was washed with more ethyl acetate (30 ml) and water (30 ml). The combined organic layer was washed with brine and dried over $MgSO₄$. Evaporation of the solvent left yellow oil that was treated with three drops of trifluoroacetic acid and four drops of pyridine. The resulting mixture was directly subjected to PTLC to give $R-6$ (68.0 mg, 0.39 mmol, 78%), whose enantiomeric purity was determined to be 35% ee by HPLC

using DAICEL CHIRALCEL OD-H (2-propanol/ hexane= $10:90$, flow rate 0.5 ml/min, retention time; 23.4 min (S-enantiomer) and 27.2 min $(R-6)$. Pale yellow liquid: $[\alpha]_D^{25} = -56.5$ (c=0.35, CHCl₃). ¹H NMR (CDCl₃, 400 MHz): δ 2.67 (dd, 1H, J=16.8, 3.2 Hz, one of $CH_2C=O$), 2.91 (dd, 1H, $J=14.4$, 16.8 Hz, one of $CH_2C=O$), 5.43 (dd, 1H, $J=14.4$, 3.2 Hz, CHPh), 5.53 (d, 1H, $J=6.4$ Hz, $=$ CHC $=$ O), 7.40 (s, 5H, Ph), 7.47 (d, 1H, $J=6.4$ Hz, $=$ CO); ¹³C NMR (CDCl₃): δ 43.0 (CH₂), 80.8 $(CHPh, 107.1 (=CHC=O), 125.9, 128.6, 128.7, 137.6,$ 163.1 (=CO), 192.0 (C=O); IR (neat): 1675 ($v_{C=0}$), 1594 $(\nu_{\text{C=C}})$ cm⁻¹. Anal calcd for C₁₁H₁₀O₂: C, 75.84; H, 5.79. Found: C, 75.78; H, 5.43.

4.3.3. Enantioselective Diels–Alder reaction of 3-acyloxazolidin-2-one 9 with 2 in $\sec O_2$. The following provides the typical experimental procedure for the rare earth salt catalyzed Diels–Alder reaction of 9 with cyclopentadiene in $\sec O_2$. $\sec(OTf)_3$ (12.3 mg, 0.025, 10 mo1%), 8a (7.5 mg, 0.025 mmol), MS4A (75 mg), and 9 (38.8 mg, 0.25 mmol) were placed in the pressure vessel with a magnetic stirring bar. The vessel was then sealed and charged to 7.0 MPa pressure with cooled $CO₂$ at 40°C. Stirring at 40° C was started, and a period of $15-20$ min was allowed for equilibration. Freshly distilled 2 (62 ml, 0.75 mmol) was injected into the system, and the pressure increased to the desired level (10 MPa). After 0.5 h, stirring and heating was stopped and the vessel was immersed in a dry ice–methanol bath. The pressure was then released through a trap of ethyl acetate (20 ml), and the vessel was washed with more ethyl acetate (30 ml) and water (30 ml). The combined organic layer was washed with brine and dried over MgSO4. Evaporation of the solvent left a yellow residue which was subjected to PTLC (hexane/ethyl acetate= $5:1$ as an eluent) to give a mixture of *endo* and exo adduct (endolexo= $93:7$) (39.0 mg, 71% yield). The enantiomeric purity of the *endo*-10 was determined to be 83% ee by HPLC using DAICEL CHIRALPAK AD

 $(2-propanol/hexane=2.5:97.5,$ flow rate 1.0 ml/min, retention time; 19.2 min (exo), 20.0 min $[(2S, 3R)$ -endo 10] 21.6 min (exo enantiomer), and 23.6 min (endo enantiomer).¹⁵ Colorless solid, mp $88-89^{\circ}$ C; $[\alpha]_D^{25}$ = -167.5 (c=0.85, CHCl₃). ¹H NMR (400 MHz, CDCl₃): δ 1.13 (d, 3H, J=7.1 Hz), 1.48 (d, 1H, $J=8.5$ Hz), 1.70 (d, 1H, $J=8.5$ Hz), 2.05–2.10 (m, 1H), 2.52 (br s, 1H), 3.28 (br s, 1H), 3.55 (dd, 1H, J=4.39, 3.90), 3.9–4.1 (m, 2H), 4.41 (t, 2H, $J=8.1$ Hz), 5.79 (dd, 1H, $J=2.7$, 5.7 Hz), 6.37 (dd, 1H, $J=3.2$, 5.7 Hz); ¹³C NMR $(300 \text{ MHz}, \text{CDCl}_3)$: δ 20.6, 36.6, 43.2, 47.3, 47.7, 49.7, 51.5, 62.1, 131.2, 139.9, 153.7, 174.6; IR (KBr) 1768, 1685 $(\nu_{\text{C}=0}) \text{ cm}^{-1}$. Anal calcd for $\text{C}_{12}\text{H}_{15}\text{NO}_3$: C, 65.14; H, 6.83; N, 6.33. Found: C, 65.44; H, 6.81; N, 6.28.

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